



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2633

Examiner: To be assigned

SMR

In Re PATENT APPLICATION Of:

Applicants: Shuichi NAWAE et al.)
Serial No.: 10/700,457)
Filed: November 5, 2003)
For: OPTICAL MODULE AND METHOD)
FOR MANUFACTURING THE SAME)
Attorney Ref: KAW 106)

)

**INFORMATION
DISCLOSURE
STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is an information disclosure statement submitted in compliance with the timing requirements of 37 C.F.R. §1.97(b)(3), i.e., prior to a first Office Action on the merits.

Attached are copies of three Japanese patent publications, which were cited by the attached Japanese Office Action, dated April 18, 2006, issued in connection with a counterpart Japanese patent application. Also attached is an English-language translation and/or abstracts of the Japanese Office Action and the respective ones of the publications, from which any relevance of the Japanese patent publication may be gleaned. The publications are listed on the attached Form PTO-1449. Also listed for consideration on the Form PTO-1449 is a U.S. patent publication Number 5,110,065 dated May 5, 1992, which is the U.S. counterpart of the Japanese patent publication Number 05-092867 from which any further relevance of the Japanese patent publication can be gleaned. Consideration of the listed documents respectfully is requested.

Should any fee be required, however, the Commissioner is hereby authorized to charge such fee to our Deposit Account No. 18-0002 and notify us accordingly.

Respectfully submitted,

June 26, 2006
Date

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT 		Atty Docket KAW 106	Application No. 10/700,457				
		Applicant Shuichi NAWAE et al.					
		Filed Date November 5, 2003	Group Unit 2633				
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA	5,110,065	05/05/92	Cawelti et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation
	AI	05-092867	04/16/93	Japan			Abstract
	AJ	04-120783	04/21/92	Japan			Abstract & Partial Translation
	AK	63-161408	07/05/88	Japan			Abstract & Partial Translation
	AL						
	AM						
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	AO						
	AP						
Examiner				Date Considered			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							